

JC10 Rec'd PCT/PTO 18 MAY 2005

FORM PTO-1390 (Modified)  
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  
(REV 12-2004)

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER  <b>047182-0140</b>
INTERNATIONAL APPLICATION NO. <b>PCT/US2003/036844</b>	INTERNATIONAL FILING DATE <b>11/18/2003</b>	U.S. APPLICATION NO. (If not in series with PCT) <b>Unassigned 10/535279</b>
TITLE OF INVENTION <b>NANOTUBE POLYMER COMPOSITE AND METHODS OF MAKING SAME</b>		PRIORITY DATE CLAIMED <b>11/18/2002</b>
APPLICANT(S) FOR DO/EO/US <b>Pulickel AJAYAN et al.</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.		
2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.		
3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.		
4. <input checked="" type="checkbox"/> The US has been elected (Article 31).		
5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <div style="margin-left: 20px;"> <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).  <input type="checkbox"/> has been communicated by the International Bureau.  <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)         </div>		
6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <div style="margin-left: 20px;"> <input type="checkbox"/> is attached hereto.  <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).         </div>		
7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <div style="margin-left: 20px;"> <input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau).  <input type="checkbox"/> have been communicated by the International Bureau.  <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.  <input type="checkbox"/> have not been made and will not be made.         </div>		
8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).		
9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).		
10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).		
<b>Items 11 to 20 below concern other document(s) or information included:</b>		
11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.		
12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.		
13. <input checked="" type="checkbox"/> A Preliminary Amendment.		
14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.		
15. <input type="checkbox"/> A substitute specification.		
16. <input type="checkbox"/> A power of attorney and/or change of address letter.		
17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825		
18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).		
19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).		
20. <input checked="" type="checkbox"/> Other items or information: International Search Report (2 pages).		

JC14 Rec'd PCT/PTO 18 MAY 2005

U.S. APPLICATION NO. <b>10/535279</b> Unassigned		INTERNATIONAL APPLICATION NO. <b>PCT/US2003/036844</b>		ATTORNEY'S DOCKET NUMBER <b>047182-0140</b>	
The following fees have been submitted:					
21. <input checked="" type="checkbox"/> Basic national fee				<b>\$300</b>	\$ 300.00
22. <input checked="" type="checkbox"/> Examination fee					
If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)				\$100	\$ 200.00
All other situations				\$200	
23. <input checked="" type="checkbox"/> Search fee					
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority				\$100	
International Search Report prepared and provided to the Office				\$400	
All other situations				\$500	\$ 500.00
<b>TOTAL OF ABOVE 21, 22 and 23 =</b>					<b>\$ 1000.00</b>
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$ for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
22 - 100 =	0 /50 =	0	x \$250.00		\$ 0.00
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e))					\$ 0.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	48 - 20 =	28	x \$ 50.00		\$ 1400.00
Independent Claims	6 - 3 =	3	x \$ 200.00		\$ 600.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$ 360.00		\$ 0.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>					<b>\$ 3000.00</b>
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.				+	\$ 1500.00
<b>SUBTOTAL =</b>					<b>\$ 1500.00</b>
Processing fee of 130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					\$ 0.00
<b>TOTAL NATIONAL FEE =</b>					<b>\$ 1500.00</b>
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). 40.00 per property					\$ 0.00
<b>TOTAL FEES ENCLOSED =</b>					<b>\$ 1500.00</b>
				Amount to be refunded:	
				charged:	
<p>a. <input checked="" type="checkbox"/> A check in the amount of <b>\$1500.00</b> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. <b>19-0741</b> in the amount of 1400 to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <b>19-0741</b>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charge to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.</p> <p><b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO:</p> <p style="text-align: center;">Foley &amp; Lardner LLP Customer Number: 22428</p>					
				SIGNATURE	
				<b>Stephen B. Maebius</b>	
				NAME	
				<b>35,264</b>	
				REGISTRATION NUMBER	